

Minutes of the Meeting of the Audit and Compliance Committee of the Board of Directors of the Cook County Health and Hospitals System (CCHHS) held Friday, October 20, 2023 at the hour of 9:00 A.M., at 1950 West Polk Street, Room 5301, in Chicago, Illinois.

I. Attendance/Call to Order

Chair Harrington called the meeting to order.

Present: Chair Joseph M. Harrington and Director Otis L. Story, Sr. (Substitute Member) (2)
Director Robert Currie

Absent: Directors Hon. Dr. Dennis Deer, LCPC, CCFC and Robert G. Reiter, Jr. (2)

Additional attendees and/or presenters were:

Ashley Huntington – Compliance Officer
Jeff McCutchan – General Counsel
Angela O’Banion – Chief Information Officer
Alisha Patel – Assistant General Counsel

Israel Rocha, Jr. – Chief Executive Officer
Deborah Santana – Secretary to the Board
Tom Schroeder – Director of Internal Audit

The Audit and Compliance Committee’s regular meeting schedule for 2024 has not yet been determined; however, it is expected that the Committee will begin holding their quarterly meetings starting in January 2024.

II. Public Testimony

There was no public testimony submitted.

III. Report from Chief Corporate Compliance and Privacy Officer (Attachment #1)

A. Action Item: Approve proposed Audit and Compliance Committee of the Board of Directors Charter (Attachment #2)

Ashley Huntington, Compliance Officer, provided an overview of the Report and proposed Audit and Compliance Committee of the Board of Directors Charter. The Committee reviewed the information.

The Report included information on the following subjects:

- ☐ Compliance Program Overview
 - Review and Approve Audit & Compliance Committee Charter
- ☐ Metrics
 - System Compliance Program
 - CountyCare Medicaid Health Plan Compliance Program
 - Recoveries

Director Story, seconded by Chair Harrington, moved to approve the proposed Audit and Compliance Committee of the Board of Directors Charter. THE MOTION CARRIED UNANIMOUSLY.

IV. Action Items

A. Accept Minutes of the Audit and Compliance Committee Meeting, July 21, 2023

Director Story, seconded by Chair Harrington, moved to accept Item IV(A) the Minutes of the Audit and Compliance Committee Meeting of July 21, 2023. THE MOTION CARRIED UNANIMOUSLY.

B. Any items listed under Sections III and IV

V. Closed Meeting Items

A. Report from Director of Internal Audit

B. Discussion of Personnel Matters

Director Story, seconded by Chair Harrington, moved to recess the open meeting and convene into a closed meeting, pursuant to the following exceptions to the Illinois Open Meetings Act: 5 ILCS 120/2(c)(1), regarding “the appointment, employment, compensation, discipline, performance, or dismissal of specific employees of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee of the public body or against legal counsel for the public body to determine its validity,” and 5 ILCS 120/2(c)(29), regarding “meetings between internal or external auditors and governmental audit committees, finance committees, and their equivalents, when the discussion involves internal control weaknesses, identification of potential fraud risk areas, known or suspected frauds, and fraud interviews conducted in accordance with generally accepted auditing standards of the United States of America.”

On the motion to recess the open meeting and convene into a closed meeting, a roll call was taken, the votes of yeas and nays being as follows:

Yeas: Chair Harrington and Director Story (2)

Nays: None (0)

Absent: Directors Deer and Reiter (2)

THE MOTION CARRIED UNANIMOUSLY and the Committee convened into a closed meeting.

Chair Harrington declared that the closed meeting was adjourned. The Committee reconvened into the open meeting.

VI. Adjourn

As the agenda was exhausted, Chair Harrington declared the meeting ADJOURNED.

