Minutes of the Meeting of the Audit and Compliance Committee of the Board of Directors of the Cook County Health and Hospitals System (CCHHS) held Monday, October 24, 2022 at the hour of 9:00 A.M. This meeting was held by remote means only, due to the determination that a public health emergency exists.

I. Attendance/Call to Order

Chair Koetting called the meeting to order.

Present: Chair Mike Koetting and Director and Robert G. Reiter, Jr. (2)

Directors Joseph M. Harrington; Sam A Robinson, III, PhD; and Otis L. Story, Sr.

Absent: * Hon. Dr. Dennis Deer, LCPC, CCFC (1)

*Director Deer had an excused absence from this meeting as he had a conflicting Cook County Board/Finance Committee Budget meeting that he had to attend.

Additional attendees and/or presenters were:

Nicole Almiro - Chief Corporate Compliance and Privacy Officer
Jeff McCutchan – General Counsel
Israel Rocha, Jr. – Chief Executive Officer
Deborah Santana – Secretary to the Board
Tom Schroeder – Director of Internal Audit

The Audit and Compliance Committee's regular meeting schedule for 2023 has not yet been determined; however, it is expected that the Committee will begin holding their quarterly meetings starting in January 2023.

II. Public Testimony

There was no public testimony submitted.

III. Report from Chief Corporate Compliance and Privacy Officer (Attachment #1)

- CCH Compliance Program Overview
- Structure of the CCH Compliance Program – Formalized Controls and Activities
- CountyCare Compliance Plan – Policies/Code & Training & Communications
- CountyCare Compliance Plan – Accountability/Auditing/Responsiveness and Reporting
- Metrics – System Compliance Program Q1-Q3 County FY2022
- Metrics – CountyCare Compliance Program SFY2022
    - Recoveries

Nicole Almiro, Chief Corporate Compliance and Privacy Officer, provided an overview of the Report. The Committee reviewed and discussed the information.

IV. Action Items

A. Accept Minutes of the Audit and Compliance Committee Meeting, July 10, 2022

Chair Koetting inquired whether any corrections were needed to be made to the Minutes.

B. Any items listed under Sections IV and V

Director Reiter, seconded by Chair Koetting, moved to accept the July 19, 2022 Audit and Compliance Committee Meeting Minutes. On the motion, a roll call vote was taken, the votes of yeas and nays being as follows:

Yeas: Chair Koetting and Director Reiter (2)

Nays: None (0)

Absent: Director Deer (1)

THE MOTION CARRIED UNANIMOUSLY.

V. Closed Meeting Items

A. Report from Director of Internal Audit
B. Discussion of Personnel Matters

Chair Koetting, seconded by Director Reiter, moved to recess the open meeting and convene into a closed meeting, pursuant to the following exceptions to the Illinois Open Meetings Act: 5 ILCS 120/2(c)(**1**), regarding "the appointment, employment, compensation, discipline, performance, or dismissal of specific employees of the public body or legal counsel for the public body, including hearing testimony on a complaint lodged against an employee of the public body or against legal counsel for the public body to determine its validity," and 5 ILCS 120/2(c)(**29**), regarding "meetings between internal or external auditors and governmental audit committees, finance committees, and their equivalents, when the discussion involves internal control weaknesses, identification of potential fraud risk areas, known or suspected frauds, and fraud interviews conducted in accordance with generally accepted auditing standards of the United States of America."

On the motion to recess the open meeting and convene into a closed meeting, a roll call was taken, the votes of yeas and nays being as follows:

Yeas: Chair Koetting and Director Reiter (2)

Nays: None (0)

Absent: Director Deer (1)

THE MOTION CARRIED UNANIMOUSLY and the Committee convened into a closed meeting.

Chair Koetting declared that the closed meeting was adjourned. The Committee reconvened into the open meeting.

VI. Adjourn

As the agenda was exhausted, Chair Koetting declared the meeting ADJOURNED.

Respectfully submitted,
Audit and Compliance Committee of the Board of Directors of the Cook County Health and Hospitals System

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Mike Koetting, Chair

Attest:

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Deborah Santana, Secretary